

06/03/97

37
705
Class
Subclass
ISSUE CLASSIFICATION
SEA 1997 D

5963923

5963923

UTILITY SERIAL NUMBER	PATENT DATE	PATENT NUMBER
SERIAL NUMBER 60/030,584	FILING DATE 06/02/97	CLASS 371
SUBCLASS	37	GROUP ART. UNIT 275
EXAMINER	J. J. J.	

PATENTS
APPLIED FOR
HOWARD S. BARBER, CHICAGO, IL.

Certificate

JUL 31 2007

of Correction

CONTINUING DATA***
VERIFIED PROVISIONAL APPLICATION NO. 60/030,584 11/12/96

ES J.

FOREIGN PCT APPLICATION LIST ****
VERIFIED

ES J.

FOREIGN FILING LICENSE GRANTED 10/29/97

SPECIAL SMALL ENTITY STATUS

Foreign priority claimed 35 USC 119 conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	AS FILED	STATE OR COUNTRY	Sheets DRWGS.	TOTAL CLAIMS	INDEP. CLAIMS	FILING FEE RECEIVED	ATTORNEY'S DOCKET NO.
Verified and Acknowledged/ Examiner's Initials	ES								

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SYSTEM AND METHOD FOR TRADING HAVING A PRINCIPAL MARKET MAKER

TITLE		U.S. DEPT. OF COMM/PAT. & TM—PTO-436L (Rev.12-94)							
PARTS OF APPLICATION FILED SEPARATELY		JAGDISH PATEL Applications Examiner							
NOTICE OF ALLOWANCE MAILED 10/12/99		CLAIMS ALLOWED Total Claims 21 Print. Claim 21 Assistant Examiner							
ISSUE FEE Amount Due \$605.00 Date Paid 10/12/99		DRAWING Sheets Drwg. 10 Figs. Drwg. 10 Print Fig. 1							
Label Area		PREPARED FOR ISSUE Allen MacDonald Primary Examiner WARNING: The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code Title 35, Sections 122, 181 and 368. Possession outside the U.S. Patent & Trademark Office is restricted to authorized employees and contractors only.							

D. IRVINE
QUERY

Formal Drawings (shts) Set

ISSUE FEE IN FILE

(FACE)

STAPLE AREA

PATENT NUMBER	ORIGINAL CLASSIFICATION		CROSS REFERENCE(S)	
	CLASS 705	SUBCLASS 30	CLASS 705	SUBCLASS (ONE SUBCLASS PER BLOCK) 35
APPLICATION SERIAL NUMBER			235	379
APPLICANT'S NAME (PLEASE PRINT)	GARBER, HOWARD B.		380	
ISSUE DATE				
REISSUE: ORIGINAL PATENT NUMBER				
INTERNATIONAL CLASSIFICATION				
G 06 F 15/30				
ASSISTANT EXAMINER (PLEASE STAMP OR PRINT FULL NAME) JAGDISH SPATE				
GROUP ART UNIT 2705				
PRIMARY EXAMINER (PLEASE STAMP OR PRINT FULL NAME) ALLEN R. MACDONALD				
TO 270 (SV 5-91)				

ISSUE CLASSIFICATION SUPERVISORY PATENT EXAMINER, DEPARTMENT OF COMMERCE
TRADEMARK OFFICE